

1999 - PRESENT
PENALTIES LEVIED OR APPROVED UNDER THE ETHICS CODE

| Penalty | Year | Name | Dept. | Type of Violation | Synopsis | Notes |
|--|------|-----------|-------|--|--|---|
| Multiple counts, including misuse of position | | | | | | |
| \$14,750* | 2011 | Britsch | SDOT | Misuse of Position, Conflict of Interest | Truck inspector paid by trucking firms for conducting private inspections. | Employee stipulated to facts, Commission imposed penalty. Includes disgorgement of \$9,750. |
| \$4,500 | 2001 | Colon | SDOT | Misuse of Position, Conflict of Interest | Employee used his position to solicit clients to pay for a private class he taught, and continued to have official dealings with contractors who paid to take his class. | |
| \$4,000 | 2001 | Gomez | OH | Misuse of Position and City Property, Conflict of Interest | City employee used his position to secure work for his private business, and used City property to perform private business. Employee also admitted to having official dealings with contractors and homeowners with whom he had private dealings. | |
| \$3,000 | 2015 | Martinez | DPR | Misuse of Position and City Property, Conflict of Interest | Employee took official actions involving her uncle and nephew, and entered into an affiliation with Major League Baseball as a DPR employee when the affiliation was not sanctioned by DPR. Employee accepted a \$2,300 check at DPR and deposited it in a private bank account. | |
| \$3,000 | 1999 | Williams | SPU | Misuse of Position, City Time and Property, Conflict of Interest | Employee entered into a private business with a supervisee, used City time and equipment to conduct the private business, and authorized the supervisee to use City time and equipment to work on the private business. | |
| \$2,000 | 2011 | S. Howard | SPU | Misuse of Position, Conflict of Interest | Supervisor worked on her own utility account and her parents' account as well, and secured benefits unavailable to the public. | |

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| \$2,000* | 2011 | Woods | HSD | Misuse of Position, Conflict of Interest | Employee provided energy assistance funds to boyfriend and ex-husband, only one of whom qualified for aid | Employee did not contest charging document. Commission imposed penalty. |
| \$1,500 | 2012 | Widhalm | SPU | Misuse of Position, Conflict of Interest | Employee handled SPU paperwork associated with her own bankruptcy filing, and made other changes to her account unavailable to the public. | |
| \$1,500 | 2011 | Matlock | SPU | Misuse of Position, Conflict of Interest | Employee worked on her own utility account, and secured benefits unavailable to the public. | |
| \$1,500 | 2011 | Phan | SPU | Misuse of Position, Conflict of Interest | Employee worked on his own utility account, and secured benefits unavailable to the public. | |
| \$1,500 | 2011 | N. Howard | DPR | Misuse of Position and City Property | Employee used City credit card to purchase gaming equipment he kept in his possession, and took possession of other Community Center gaming equipment | |
| \$900 | 2013 | Monroe | SPU | Misuse of Position, Conflict of Interest | Employee worked on her own utility account, and secured benefits unavailable to the public. | |
| \$500 | 2009 | Gallagher | DPR | Misuse of Position and Personnel | Parks Superintendent allowed subordinates to issue him permit unavailable to members of the public. | |
| Conflicts of Interest | | | | | | |
| \$2,500 | 2015 | Russell | SPU | Conflict of Interest | Former Division Director had contractual dealings with a party at the same time she was making arrangements to use that party's Puerta Vallarta condominium. | |

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| \$2,500 | 2006 | Martin | SDOT | Conflict of Interest | Department Deputy Director participated in award of consultant contract when his roommate would be the consultant's project manager. | |
| \$2,000 | 2000 | Massingale | SCL | Conflict of Interest | Right-of-Way maintenance worker harvested and sold timber in City Light's Right-of-Way. (4 contracts) | Employee acknowledged "sufficient evidence for the Commission to conclude that his business activity would violate the Ethics Code." |
| \$1,500 | 2003 | Wills | LEG | Conflict of Interest | Councilmember visited site of proposed rezone with proponents and failed to disclose that contact or disqualify herself before voting to approve rezone. | |
| \$1,000 | 2008 | McIver | LEG | Conflict of Interest | Councilmember participated in award of contract to firm headed by an individual who hosted him at her vacation property just prior to the contract award. | Fine levied at close of Commission hearing. At the time, disclosure would not have satisfied the Code. |
| \$1,000 | 2006 | Hampton | SCL | Conflict of Interest | SCL real estate manager advised employees to work with his son, a real estate agent, to negotiate two lease extensions. | |
| \$1,000 | 2000 | Hardin | SCL | Conflict of Interest | Employee harvested and sold timber in City Light's Right-of-Way (2 contracts) | Employee acknowledged "sufficient evidence for the Commission to conclude that his business activity would violate the Ethics Code." |
| \$800 | 2000 | Taylor | SCL | Conflict of Interest | Employee harvested and sold timber in City Light's Right-of-Way (2 contracts) | Employee acknowledged "sufficient evidence for the Commission to conclude that his business activity would violate the Ethics Code." |

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| \$750 | 2015 | Houghtaling | FAS | Conflict of Interest | Employee took official actions involving a vendor co-owned by a close personal friend. The vendor also underwrote a hydroplane team on which the employee worked, and with which the employee had traveled to Qatar and other locales. | |
| \$750 | 2014 | Enciso | SDOT | Conflict of Interest | Employee participated in hiring and supervision of his brother-in-law. | |
| \$750 | 2011 | Little | SPU | Conflict of Interest | Employee participated in contracting process when he was working as a subconsultant for one of the bidders. | |
| \$700 | 2011 | Spencer | SPU | Conflict of Interest | Employee worked on his own utility account. All benefits received were available to the public. (16 transactions) | |
| \$500 | 2012 | McClure | SPU | Conflict of Interest | Employee worked on close personal friend's utility account without disclosing the relationship. (6 transactions) | |
| \$500* | 2010 | Bushnell | OM | Conflict of Interest | Employee participated in contracting process without disclosing that his spouse worked for a sub-consultant on one of the bid teams. | Employee stipulated to facts, Commission imposed penalty. |
| \$500 | 2000 | Hall | SCL | Conflict of Interest | Employee harvested and sold timber in City Light's Right-of-Way (1 contract) | Employee acknowledged "sufficient evidence for the Commission to conclude that his business activity would violate the Ethics Code." |
| \$400 | 2011 | Coffin | SPU | Conflict of Interest | Employee worked on her own utility account. All benefits received were available to the public. (4 transactions) | |
| \$300 | 2011 | Theofolis | SPU | Conflict of Interest | Employee worked on her parents' utility account. All benefits received were available to the public. (3 transactions) | |

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| Gifts | | | | | | |
| \$3,000 | 2003 | Compton | LEG | Gifts | Councilmember accepted (1) all-expenses paid trip to Portland Trailblazers game from company three months before sponsoring resolution advancing the company's business interests, and (2) lunch from proponents of rezone while that rezone was pending before the City Council. | |
| \$200 | 2004 | Mclver | LEG | Gifts | Councilmember accepted \$6 lunch from friend at time that friend was involved in a quasijudicial proceeding pending before the City Council. | Official did not acknowledge violating the law. |
| \$200 | 2003 | Nicastro | LEG | Gifts | Councilmember accepted lunch from personal friend at time that friend was involved in a quasijudicial proceeding pending before the City Council. | Official acknowledged that acceptance of lunch could appear to be a violation of Ethics Code. |
| Misuse of City facilities for campaign purposes | | | | | | |
| \$2,205* | 2005 | Nickels | MO | Misuse of City Property | Mayor's office produced and distributed "Accomplishments Document" in March of election year. | Commission did not impose a fine, but did require campaign to reimburse the City for the cost of printing and mailing the document. |
| \$150 | 2015 | Burgess | LEF | Misuse of City Property | Councilmember had campaign related tweets accessible from his City webpage. | |
| \$150 | 2014 | Bagshaw | LEG | Misuse of City Property | Councilmember brought campaign materials to Office of Senior Citizens brownbag lunch on Parks Measure | |
| \$150 | 2008 | Godden | LEG | Misuse of City Property | Councilmember's calendar contained too much information regarding campaign events. | |
| \$150 | 2007 | Namura | LEG | Misuse of City property | Legislative Assistant wrote first draft of opinion piece on ballot measure on a City computer. | |

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| Misuse of time, property, or funds | | | | | | |
| \$1,250 | 2003 | Robinson | DIT | Misuse of City Property | Employee used City Federal Express account and City cell phone for personal use | |
| \$1,000 | 2012 | Au | SPU | Misuse of City Property | Employee used City computer, telephones, and office space to help run family business. | |
| \$500 | 2003 | DeVilla | SMC | Misuse of City Funds and Time | Magistrate attended conference in Maui and did not attend sessions. | Magistrate settled but contested Commission's jurisdiction. |
| \$500 | 2013 | Razon | SCL | Misuse of City Property | Employee used City computer, telephones, and office space to help run her daughter's business. | |
| \$365 | 2011 | Simonyan | HSD | Misuse of City Property | Employee drove City car to casino during her work day. | |
| \$300 | 2011 | Benavides | SDOT | Misuse of City Property | Employee placed police department decal on his personal vehicle. | |
| \$300 | 2001 | Aitken | Seattle Center | Misuse of City Property | Employee used City computer for approximately two hours per day to participate in auctions, and had at least four items per week shipped to Seattle Center | |
| \$300 | 2001 | Starrett | HSD | Misuse of City Property | Employee used City computer for approximately two hours per day to search the Internet for personal reasons. | |
| \$266 | 2008 | Chenault | PERS | Misuse of City Property | Employee drove City car to second job on the weekend. | |
| \$250 | 2000 | Eulberg | DPD | Misuse of City Property | Employee used City computers to research stocks and mutual funds. | |
| \$250 | 2003 | Hankins | SMC | Misuse of City Funds and Time | Magistrate attended conference in Maui and did not attend sessions. | Magistrate settled but contested Commission's jurisdiction. |
| \$250 | 2003 | Duffy | SMC | Misuse of City Funds and Time | Magistrate attended conference in Maui and did not attend sessions. | Magistrate settled but contested Commission's jurisdiction. |

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| \$250 | 1999 | Reed | SPU | Misuse of City Time and Property | Employee used City time and equipment to work on purchase of private restaurant business. | |
| \$250 | 1999 | Irvin | SCL | Misuse of City Time and Property | Employee used City time and equipment for purposes not related to his City duties. | |
| \$200 | 2003 | Wilson | SMC | Misuse of City Funds and Time | Magistrate attended conference in Maui and did not attend sessions. | Magistrate settled but contested Commission's jurisdiction. |

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